## Some Symmetry and Unimodality Properties of the q, x, y-Hit Numbers

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#### Abstract

We prove symmetry and in some cases symmetry and unimodality of polynomials related to the q, x, y-hit numbers introduced by Haglund. These results generalize theorems proven by Haglund for the q-hit numbers. We also apply one of these results to obtain a corollary concerning a generalization of the Eulerian numbers.

#### Résumé

Nous prouvons la symétrie et dans certains cas la symétrie et l'unimodalité de polynômes relatifs aux q, x, y nombres de contacts introduits par Haglund, généralisant ainsi certains théorèmes. Un de ces résultats nous permet d'obtenir un corollaire à propos d'une généralisation des nombres Eulériens.

## 1 Introduction

### **1.1** Preliminaries

We will use the notation  $SQ_n$  to denote the  $n \times n$  square chess board. We will number the columns of  $SQ_n$  with 1 through n going from left to right across the bottom, and the rows of  $SQ_n$  with 1 through n going from bottom to top. We will label a square on  $SQ_n$  in column i row j with (i, j).

More generally, a *board* will be any subset of  $SQ_n$  for some  $n \in \mathbb{N}$ . A *Ferrers board* is a board with non-decreasing column heights from left to right, or more precisely a board of the form  $\{(i, j) \in SQ_n | 1 \leq j \leq b_i, 1 \leq i \leq n\}$  where  $b_1 \leq b_2 \leq \cdots \leq b_n$ . We will denote the Ferrers board with

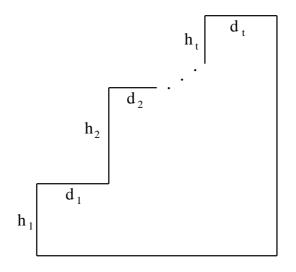


Figure 1: The Ferrers board  $B(h_1, d_1; \ldots; h_t, d_t)$ .

column heights  $b_1, b_2, \ldots b_n$  by  $B(b_1, \ldots, b_n)$ . We will also specify a Ferrers board by its step heights and depths. The Ferrers board  $B(h_1, d_1; \ldots; h_t, d_t)$ is shown in Figure 1. We will call  $B = B(b_1, \ldots, b_n) = B(h_1, d_1; \ldots; h_t, d_t)$  a regular Ferrers board if  $b_i \ge i$  for  $1 \le i \le n$ , or equivalently if  $h_1 + \cdots + h_i \ge d_1 + \cdots + d_i$  for  $1 \le i \le t$  as was defined in [9]. In this paper we will focus on regular Ferrers boards.

A rook placement on a board  $B \subseteq SQ_n$  is a subset of squares of B such that no two of these squares lie in the same row or the same column. As the name suggests, these squares represent positions on an  $n \times n$  chess board where non-attacking rooks can be placed. Let  $r_k(B)$  denote the number of k rook placements on B, and let  $h_{n,k}(B)$  denote the number of n rook placements on  $SQ_n$  such that exactly k rooks lie on B. These are known as the *kth rook number* and the *kth hit number*, respectively, of the board B. Classical rook theory is concerned with studying the relationships between these two numbers.

### **1.2** Cycle-counting *q*-rook theory

The cycle-counting q-rook numbers were first introduced in the unpublished work of Ehrenborg, Haglund, and Readdy [4], defined only for Ferrers boards. These rook numbers generalize both the q-rook numbers  $R_k(q, B)$  of Garsia and Remmel [5], and the cycle-counting rook numbers  $r_k(y, B)$  of Chung and Graham [2]. In order to describe them, we need to define the following three statistics.

The first statistic is denoted  $inv_B$ , a generalization of the number of inversions of a permutation. Given a placement P of rooks on a Ferrers board  $B \subseteq SQ_n$ , let each rook cancel all squares to the right in its row and below in its column. We can then define  $inv_B(P)$  to be the number of squares of B which neither contain a rook from P nor are cancelled.

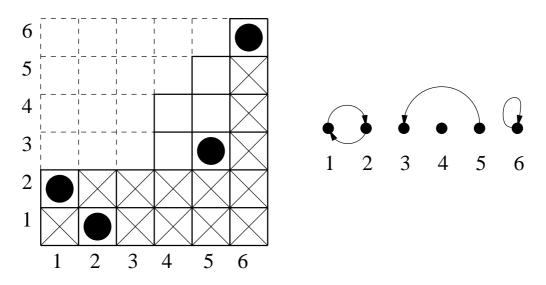


Figure 2: The placement P on B and the associated digraph  $G_P$ .

The second statistic is denoted cyc, and is a generalization of the number of cycles of a permutation. Given a rook placement P on a board  $B \subseteq SQ_n$ , it is possible to associate to P a simple directed graph  $G_P$  on n vertices. This fact was first noted in [6] (see also [2] and [3]). There is an edge from i to jin  $G_P$  if and only if there is a rook from P on the square (i, j). We can then define cyc(P) to be the number of cycles in  $G_P$ .

The third statistic, denoted E, depends on the following fact. Given any placement P of j non-attacking rooks in columns 1 through i-1 of a Ferrers board B (where  $j \leq i-1$ ), it is an easy exercise to see that if  $b_i \geq i$  then there is exactly one square in column i where placement of a rook will complete a new cycle in the digraph  $G_P$ . If  $b_i < i$  then there is no square where placing a rook will complete a new cycle. Note that a regular Ferrers board will have such a square in each of its columns (since  $b_i \geq i$  for all  $1 \leq i \leq n$ ). Now for i with  $b_i \geq i$  we can define  $s_i(P)$  to be the unique square which, considering only rooks from P in columns 1 through i-1 of P, completes a new cycle. Then let E(P) be the number of i such that  $b_i \geq i$  and there is no rook from P in column i on or above square  $s_i(P)$ .

For the rook placement P pictured in Figure 2, we see that  $inv_B(P) = 4$ , cyc(P) = 2, and E(P) = 2 (corresponding to i = 4 and i = 5). We will use the common notation of

$$[x] = \frac{1-q^x}{1-q}$$

to denote the q-analog of the real number x. Note that when  $x = n \in \mathbb{N}$ ,

$$[n] = \frac{1 - q^n}{1 - q} = 1 + q + \dots + q^{n-1}$$

is a polynomial in q. We use the notation [n]! to denote the q-analog of n!, the product  $[n][n-1]\cdots[2][1]$ . Finally, for  $n,k \in \mathbb{N}$  we denote by  $\begin{bmatrix} n \\ k \end{bmatrix}$  the

q-analog of the binomial coefficient  $\binom{n}{k}$ , equal to

i

$$\frac{[n]!}{[k]![n-k]!} = \frac{[n][n-1]\cdots[n-k+1]}{[k]!}$$

for  $k \leq n$  and equal to 0 for k > n. The fact that  $\begin{bmatrix} n \\ k \end{bmatrix}$  is also a polynomial in q is proven in [10]. More generally for  $z \in \mathbb{C}$  we will write  $\begin{bmatrix} z \\ k \end{bmatrix}$  for  $[z][z-1]\cdots[z-k+1]/[k]!$ .

As in [4], we now define the *kth cycle-counting q-rook number* of a Ferrers board B by the equation

$$R_{k}(y,q,B) = \sum_{P \ k \text{ rooks on } B} [y]^{cyc(P)} q^{inv_{B}(P) + (y-1)E(P)}.$$
 (1)

Letting y = 1 in (1) yields the q-rook numbers of [5], and letting  $q \to 1$  gives the cycle-counting rook numbers of [2]. The  $R_k(y, q, B)$  satisfy the useful equation

$$\sum_{k=0}^{n} R_{n-k}(y,q,B)[z][z-1]\cdots[z-k+1] = \prod_{\text{with } b_i \ge i} [z+b_i-i+y] \prod_{i \text{ with } b_i < i} [z+b_i-i+1],$$
(2)

a version of the well-known factorization theorems proven for the  $r_k(B)$  [7],  $R_k(q, B)$  [5], and  $r_k(y, B)$  [2].

Haglund [9] further extended this model by defining the q, x, y-hit numbers algebraically by the equation

$$\sum_{k=0}^{n} A_{n,k}(x,y,q,B) z^{k} =$$

$$(3)$$

$$\sum_{k=0}^{n} R_{n-k}(y,q,B)[x][x+1]\cdots[x+k-1]z^{k}\prod_{i=k+1}^{n} (1-zq^{x+i-1}),$$

which generalize the  $a_{n,k}(x, y, B)$  also discussed in [9]. The case x = y is studied in [1], where a combinatorial interpretation for  $A_{n,k}(y, y, q, B)$  is given. In addition to generalizing the *q*-hit numbers of Garsia and Remmel [5], the  $A_{n,k}(y, y, q, B)$  also generalize the cycle-counting hit numbers in the model of Chung and Graham [2].

In Section 2 we prove symmetry and unimodality of  $A_{n,k}(a, b, q, B)$  for  $a, b \in \mathbb{N}$ . We then apply this theorem to prove a symmetry and unimodality property of the *cycle-counting q-Eulerian numbers* introduced in [1]. In Section 3, we prove symmetry of the polynomial  $A_{n,k}(a, b, q, B) / \prod_{i=1}^{t} [d_i]!$  for any regular Ferrers board  $B = B(h_1, d_1; \ldots; h_t, d_t)$ . Finally in Section 4, we prove unimodality of  $A_{n,k}(a, b, q, B) / \prod_{i=1}^{t} [d_i]!$  for a certain class of regular Ferrers boards.

## **2** Symmetry and Unimodality of $A_{n,k}(a, b, q, B)$

If  $B = B(h_1, d_1; \ldots; h_t, d_t) \subseteq SQ_n$  is a Ferrers board, let us denote by  $B - h_p - d_p$  the Ferrers board  $B(h_1, d_1; \ldots; h_p - 1, d_p - 1; \ldots h_t, d_t) \subseteq SQ_{n-1}$ , obtained from B by decreasing the pth step by 1. We will call the number of squares in the board B the Area(B).

Suppose

$$f(q) = \sum_{i=M}^{N} a_i q^i,$$

is a polynomial in q with  $a_M, a_N \neq 0$ . We call M + N the virtual degree of f. We will say the polynomial f(q) is zsu(d) if either

- 1. f(q) is identically zero, or
- 2. f(q) is in  $\mathbb{N}[q]$ , symmetric, and unimodal with virtual degree d.

Note that for  $s \in \mathbb{N}$ ,  $q^s$  is zsu(2s) and [s] is zsu(s-1). We have the following lemmas. The proof of Lemma 2.1 is trivial, and a proof of Lemma 2.2 can be found in [11].

**Lemma 2.1.** If f and g are polynomials which are both zsu(d), then f + g is zsu(d).

**Lemma 2.2.** If f is zsu(d) and g is zsu(e), then fg is zsu(d+e).

Combining Lemmas 2.1 and 2.2 with (2) and (3), we can easily prove the following.

**Lemma 2.3.** Let  $a, b \in \mathbb{N}$ . For any regular Ferrers board  $B \subseteq SQ_n$ ,  $A_{n,0}(a, b, q, B)$  is  $zsu(Area(B) + n(b-1) - \binom{n+1}{2})$ .

**Lemma 2.4.** Let  $B = B(h_1, d_1; ...; h_t, d_t) \subseteq SQ_n$  be a regular Ferrers board,  $B - h_t - d_t \subseteq SQ_{n-1}$  as described earlier. Then

$$A_{n,k}(x, y, q, B) = [k + y + d_t - 1]A_{n-1,k}(x, y, q, B - h_t - d_t) +$$

$$q^{k+y+d_t-2}[n+x-y-d_t-k+1]A_{n-1,k-1}(x,y,q,B-h_t-d_t)$$

for any  $1 \leq k \leq n$ .

*Proof.* Let p = t in Lemma 5.7 of [9].

The following is now a simple corollary of the above lemmas.

**Corollary 2.5.** Let  $B = B(h_1, d_1; \ldots; h_t, d_t) \subseteq SQ_n$  be a regular Ferrers board,  $a, b \in \mathbb{N}$ . If  $n+a+1 \geq b+d_t+k$ , then  $A_{n,k}(a, b, q, B)$  is zsu(Area(B)+ $n(b+k-1)+k(a-1)-\binom{n+1}{2})$  for  $0 \leq k \leq n$ .

*Proof.* The proof is by induction on Area(B). When Area(B) = 1 the only regular Ferrers board is the  $1 \times 1$  square  $SQ_1$ . A quick calculation from the definition shows that  $A_{1,0}(x, y, q, SQ_1) = [y]$ ,  $A_{1,1}(x, y, q, SQ_1) = q^y[x-y]$ , and  $A_{1,k}(x, y, q, SQ_1) = 0$  for k > 1. Thus  $A_{1,0}(a, b, q, B) = [b]$  and  $A_{1,1}(a, b, q, B) = q^b[a-b]$  are zsu(b-1) and zsu(a+b-1) respectively, and the result holds for the case Area(B) = 1.

Now assume the result holds for all regular Ferrers boards with Area < A, and let B be such a board with Area(B) = A. We know by Lemma 2.3 that the result holds for  $A_{n,0}(a, b, q, B)$ , so assume k > 0. Then by Lemma 2.4 with x = a and y = b, we have that

$$A_{n,k}(a, b, q, B) = [k + b + d_t - 1]A_{n-1,k}(a, b, q, B - h_t - d_t) + q^{k+b+d_t-2}[n + a - b - d_t - k + 1]A_{n-1,k-1}(a, b, q, B - h_t - d_t).$$
(4)

Now we know that  $[k + b + d_t - 1]$  is  $zsu(k + b + d_t - 2)$ , and by the induction hypothesis,  $A_{n-1,k}(a, b, q, B - h_t - d_t)$  is  $zsu(Area(B - h_t - d_t) + (n-1)(b+k-1) + k(a-1) - \binom{n}{2})$ . Note here that  $Area(B - h_t - d_t) = Area(B) - n - d_t + 1$ . Then by Lemma 2.2, the first term on the right side of (4) is  $zsu(Area(B) + n(b+k-1) + k(a-1) - \binom{n+1}{2})$ .

For the second term on the right side of (4), we know that  $q^{k+b+d_t-2}$  is  $zsu(2k+2b+2d_t-4)$ ,  $[n+a-b-d_t-k+1]$  is  $zsu(n+a-b-d_t-k)$  (since we have assumed  $n+a+1 \ge b+d_t+k$ ), and by the induction hypothesis  $A_{n-1,k-1}(a,b,q,B-h_t-d_t)$  is  $zsu(Area(B-h_t-d_t)+(n-1)(b+k-2)+(k-1)(a-1)-\binom{n}{2})$ ). Finally, applying Lemma 2.2 one last time we get that the second term on the right side of (4) is  $zsu(Area(B)+n(b+k-1)+k(a-1)-\binom{n+1}{2})$ , and Lemma 2.1 gives us the result for  $A_{n,k}(a,b,q,B)$  as well.  $\Box$ 

A cycle-counting version of the Eulerian numbers is given in [1], defined by the equation

$$\tilde{E}_{n,k}(y,q) = \sum_{\sigma \in S_n, \ des(\sigma) = k-1} [y]^{\ell r min(\sigma)} q^{(n-\ell r min(\sigma))(y-1) + maj(\sigma)}.$$
 (5)

Here  $\ell rmin(\sigma)$  denotes the number of *left-to-right minima* of the permutation  $\sigma$ , computed by the following algorithm. For  $\sigma = \sigma_1 \sigma_2 \cdots \sigma_n \in S_n$ , if  $\sigma_{j_1} = 1$  then let  $y_1$  be the cycle  $(\sigma_1 \cdots \sigma_{j_1})$ . If  $\alpha$  is the smallest integer not contained in  $y_1$ , and  $\sigma_{j_2} = \alpha$ , let  $y_2$  be the cycle  $(\sigma_{j_1+1} \cdots \sigma_{j_2})$ , etc. If the result of the above procedure is the product of cycles  $y_1 y_2 \cdots y_p$ , we will let  $p = \ell rmin(\sigma)$ .

It was proven in [1] that

$$E_{n,k}(y,q) = A_{n,k-1}(y,y,q,\mathbb{T}_n),$$
(6)

where  $\mathbb{T}_n = B(1, 2, ..., n)$  denotes the triangular Ferrers board. In light of (6) and Corollary 2.5, the following can be easily proven.

**Corollary 2.6.** For  $m \in \mathbb{N}$ , the polynomial

$$\sum_{\sigma \in S_n, \ des(\sigma)=k-1} [m]^{\ell rmin(\sigma)} q^{(n-\ell rmin(\sigma))(m-1)+maj(\sigma)}$$

is symmetric and unimodal with virtual degree n(m+k-2) + (k-1)(m-1).

# **3** Symmetry of $A_{n,k}(a, b, q, B) / \prod_{i=1}^{t} [d_i]!$

In this section we prove a more general symmetry result for all regular Ferrers boards, namely the symmetry of the polynomial

$$\frac{A_{n,k}(a,b,q,B)}{\prod_{i=1}^{t} [d_i]!},$$

where  $B = B(h_1, d_1; \ldots; h_t, d_t)$ . Throughout the rest of the paper we will use the notation  $H_i$  for the partial sum  $h_1 + \cdots + h_i$ , and  $D_i$  for  $d_1 + \cdots + d_i$ . We have the following lemmas.

**Lemma 3.1.** Let  $B = B(b_1, \ldots, b_n) = B(h_1, d_1; \ldots; h_t, d_t)$  be a regular Ferrers board,  $j \in \mathbb{N}$ . Then

$$\frac{\prod_{i=1}^{n} [j+b_i-i+y]}{\prod_{i=1}^{t} [d_i]!} = \prod_{i=1}^{t} \begin{bmatrix} j+H_i-D_{i-1}+y-1\\ d_i \end{bmatrix}$$

*Proof.* We see that

$$\prod_{i=1}^{n} [j+b_i-i+y] =$$

$$\prod_{i=1}^{t} [j+H_i-D_{i-1}+y-1][(j+H_i-D_{i-1}+y-1)-1]\cdots[(j+H_i-D_{i-1}+y-1)-d_i+1].$$

Thus

$$\frac{\prod_{i=1}^{n} [j+b_{i}-i+y]}{\prod_{i=1}^{t} [d_{i}]!} = \prod_{i=1}^{t} \frac{[j+H_{i}-D_{i-1}+y-1]\cdots[(j+H_{i}-D_{i-1}+y-1)-d_{i}+1]}{[d_{i}]!},$$

which is

$$\prod_{i=1}^{t} \begin{bmatrix} j+H_i - D_{i-1} + y - 1 \\ d_i \end{bmatrix}$$

by definition.

**Lemma 3.2.** Let  $B = B(b_1, \ldots, b_n) = B(h_1, d_1; \ldots; h_t, d_t) \subseteq SQ_n$  be a regular Ferrers board. Then  $A_{n,k}(x, y, q, B) / \prod_{i=1}^t [d_i]! =$ 

$$\sum_{j=0}^{k} {n+x \choose k-j} {x+j-1 \choose j} (-1)^{k-j} q^{\binom{k-j}{2}} \prod_{i=1}^{t} {j+H_i-D_{i-1}+y-1 \choose d_i}.$$

*Proof.* By Lemma 5.1 of [9], we have

$$A_{n,k}(x,y,q,B) = \sum_{j=0}^{k} {n+x \choose k-j} {x+j-1 \choose j} (-1)^{k-j} q^{\binom{k-j}{2}} \prod_{i=1}^{n} [j+b_i-i+y].$$

The lemma now follows trivially from Lemma 3.1.

We can now prove the following.

**Theorem 3.3.** Let  $B = B(h_1, d_1; ...; h_t, d_t)$  be a regular Ferrers board (so  $H_i \ge D_i$  for  $1 \le i \le t$ ). Let  $a, b \in \mathbb{N}$  with  $a \ge b \ge 1$ , and set

$$L_k^{a,b}(B) = Area(B) + n(b-1) + k(n+a-1) - \sum_{i=1}^t d_i D_i.$$

Then  $A_{n,k}(a, b, q, B) / \prod_{i=1}^{t} [d_i]!$  is either zero or symmetric with virtual degree  $L_k^{a,b}(B)$ .

*Proof.* By Lemma 3.2,  $A_{n,k}(a, b, q, B) / \prod_{i=1}^{t} [d_i]! =$ 

$$\sum_{j=0}^{k} {n+a \brack k-j} {a+j-1 \brack j} (-1)^{k-j} q^{\binom{k-j}{2}} \prod_{i=1}^{t} {j+H_i-D_{i-1}+b-1 \brack d_i},$$

which is a polynomial in q (the first two q-binomial coefficients in each summand are clearly polynomials, and the third is since  $H_i \geq D_i \geq D_{i-1}$ and  $b \geq 1$ ). Using the fact that  $\binom{r}{s}$  is zsu(s(r-s)) (see [8, 12] for a proof) and Lemma 2.2, each term on the right side above has virtual degree  $(k-j)(n+a-k+j)+j(a-1)+(k-j)(k-j-1)+\sum_{i=1}^{t} d_i(j+H_i-D_i+b-1)$ , which is exactly  $L_k^{a,b}(B)$ . Since the sign alternates, we can only conclude that  $A_{n,k}(a, b, q, B)/\prod_{i=1}^{t} [d_i]!$  is symmetric with virtual degree  $L_k^{a,b}(B)$ .  $\Box$ 

# 4 Unimodality of $A_{n,k}(a, b, q, B) / \prod_{i=1}^{t} [d_i]!$

In this section we give some sufficient conditions on the regular Ferrers board B for the polynomial of the previous section to also be unimodal. Let us first define some more notation.

Suppose we have integers  $h_1, \ldots, h_t, d_1, \ldots, d_t$ , and  $e_1, \ldots, e_t$  with  $d_i \in \mathbb{P}$ ,  $h_i \in \mathbb{N}$ , and  $0 \le e_i \le d_i$ . We will denote the vector  $(e_1, e_2, \ldots, e_t)$  by  $\vec{e}$ . We will continue to denote the partial sum  $h_1 + \cdots + h_i$  by  $H_i, d_1 + \cdots + d_i$  by  $D_i$ , and we will also let  $E_i = e_1 + \cdots + e_i$ . We make the convention that  $H_0 = D_0 = E_0 = 0$ . For fixed  $h_1, \ldots, h_t$  and  $d_1, \ldots, d_t$  we can define

$$P(\vec{e}, x, y) = \prod_{i=1}^{t} \begin{bmatrix} H_i - D_{i-1} + E_{i-1} + y - 1 \\ d_i - e_i \end{bmatrix} \begin{bmatrix} D_i + D_{i-1} - H_i - E_{i-1} + x - y \\ e_i \end{bmatrix}$$

and prove the following lemmas.

**Lemma 4.1.** Let  $B = B(h_1, d_1; ..., h_{t-1}, d_{t-1}; h_t, d_t) \subseteq SQ_n$  be a regular Ferrers board,  $B' = B(h_1, d_1; ...; h_{t-1}, d_{t-1}) \subseteq SQ_{H_{t-1}}$ . Then

$$A_{n,k}(x, y, q, B) = [d_t]! \sum_{s=k-d_t}^k A_{H_{t-1},s}(x, y, q, B') \begin{bmatrix} y+d_t+s-1\\d_t-k+s \end{bmatrix}$$
$$\times \begin{bmatrix} n-y-d_t+x-s\\k-s \end{bmatrix} q^{(k-s)(y+k-1)}.$$

*Proof.* Let p = t in Corollary 5.10 of [9] and note that because B is a regular Ferrers board,  $H_t = D_t = n$ .

**Lemma 4.2.** Let  $B = B(h_1, d_1; ...; h_t, d_t)$  be a regular Ferrers board. Then

 $A_{n,k}(x, y, q, B) =$ 

$$\prod_{i=1}^{t} [d_i]! \sum_{e_1 + \dots + e_t = k, \ 0 \le e_i \le d_i} P(\vec{e}, x, y) \prod_{i=1}^{t} q^{e_i(H_i - D_i + E_i + y - 1)}.$$
 (7)

*Proof.* By induction on t. When t = 1 we have that  $d_1 = n$ , and Lemma 4.1 gives us

$$A_{n,k}(x, y, q, B) = [d_1]! \sum_{s=k-n}^{k} A_{0,s}(x, y, q, \emptyset) \begin{bmatrix} y+n+s-1\\ d_1-k+s \end{bmatrix}$$
(8)  
 
$$\times \begin{bmatrix} n-y-n+x-s\\ k-s \end{bmatrix} \times q^{(k-s)(y+k-1)}.$$

In this case we have that  $H_1 = D_1 = d_1 = n$  and  $D_0 = H_0 = 0$ , so we get that the s = 0 term in (8) is equal to

$$[d_1]! \begin{bmatrix} H_1 - D_0 + y - 1 \\ d_1 - k \end{bmatrix} \begin{bmatrix} D_1 + D_0 - H_1 + x - y \\ k \end{bmatrix} \times q^{k(H_1 - D_1 + k + y - 1)}.$$
 (9)

Note that by definition

$$A_{0,s}(x, y, q, \emptyset) = \delta_{s,0},$$

so the only nonzero summand in (8) occurs when s = 0 and hence (9) is actually equal to (8). Finally if we recall that  $E_1 = e_1$  and  $E_0 = 0$ , we can rewrite (9) as

$$\begin{split} [d_1]! \sum_{e_1=k, \ 0 \le e_1 \le d_1} \begin{bmatrix} H_1 - D_0 + E_0 + y - 1 \\ d_1 - e_1 \end{bmatrix} \\ \times \begin{bmatrix} D_1 + D_0 - H_1 - E_0 + x - y \\ e_1 \end{bmatrix} \times q^{e_1(H_1 - D_1 + E_1 + y - 1)}, \end{split}$$

which is exactly of the form of (7).

For t > 1, Lemma 4.1 gives that

$$A_{n,k}(x, y, q, B) = [d_t]! \sum_{E_{t-1}=E_t-d_t}^{E_t} A_{H_{t-1}, E_{t-1}}(x, y, q, B') \begin{bmatrix} y+d_t+E_{t-1}-1\\d_t-e_t \end{bmatrix}$$
$$\times \begin{bmatrix} n-y-d_t+x-E_{t-1}\\e_t \end{bmatrix} \times q^{e_t(y+E_t-1)}.$$
(10)

Here we are letting  $E_{t-1} = s$  and defining  $e_t = k - s$  and  $E_t = E_{t-1} + e_t = k$ . Since B is regular  $H_t = D_t = n$ , so  $H_t - D_{t-1} = D_t - D_{t-1} = d_t$  and (10) can be rewritten as

$$\begin{aligned} A_{n,k}(x,y,q,B) &= [d_t]! \sum_{e_t=0}^{d_t} A_{H_{t-1},E_{t-1}}(x,y,q,B') \begin{bmatrix} H_t - D_{t-1} + E_{t-1} + y - 1 \\ d_t - e_t \end{bmatrix} \\ &\times \begin{bmatrix} D_t + D_{t-1} - H_t - E_{t-1} + x - y \\ e_t \end{bmatrix} \times q^{e_t(H_t - D_t + E_t + y - 1)}. \end{aligned}$$

By the inductive hypothesis, the above is equal to

$$\begin{split} [d_t]! \sum_{e_t=0}^{d_t} \left\{ \prod_{i=1}^{t-1} [d_i]! \sum_{e_1+\dots+e_{t-1}=E_{t-1}, \ 0 \le e_i \le d_i} \prod_{i=1}^{t-1} \begin{bmatrix} H_i - D_{i-1} + E_{i-1} + y - 1 \\ d_i - e_i \end{bmatrix} \right. \\ \times \begin{bmatrix} D_i + D_{i-1} - H_i - E_{i-1} + x - y \\ e_i \end{bmatrix} q^{e_i(H_i - D_i + E_i + y - 1)} \\ \left. \times \begin{bmatrix} H_t - D_{t-1} + E_{t-1} + y - 1 \\ d_t - e_t \end{bmatrix} \begin{bmatrix} D_t + D_{t-1} - H_t - E_{t-1} + x - y \\ e_t \end{bmatrix} q^{e_t(H_t - D_t + E_t + y - 1)} \\ e_t \end{bmatrix} \end{split}$$

which is

$$\prod_{i=1}^{t} [d_i]! \sum_{e_1 + \dots + e_t = k, \ 0 \le e_i \le d_t} P(\vec{e}, x, y) \prod_{i+1}^{t} q^{e_i(H_i - D_i + E_i + y - 1)}$$

as desired.

**Lemma 4.3.** Let  $B = B(h_1, d_1; \ldots; h_t, d_t)$  be a regular Ferrers board,  $a, b \in \mathbb{N}$ with  $a \ge b \ge 1$ . Let  $e_i$ ,  $d_i$ ,  $h_i$ ,  $E_i$ ,  $D_i$ , and  $H_i$  be as in the definition of  $P(\vec{e}, x, y)$ . Assume that B is such that  $d_{i-1} + d_i \ge h_i$  for  $1 \le i \le t$  (where  $d_0 := 0$ ). If any of the numerators of the q-binomial coefficients in

$$P(\vec{e}, a, b) = \prod_{i=1}^{t} \begin{bmatrix} H_i - D_{i-1} + E_{i-1} + b - 1 \\ d_i - e_i \end{bmatrix} \begin{bmatrix} D_i + D_{i-1} - H_i - E_{i-1} + a - b \\ e_i \end{bmatrix}$$

are negative, then  $P(\vec{e}, a, b) = 0$ .

*Proof.* First note that  $H_i - D_{i-1} + E_{i-1} + b - 1 \ge 0$  for  $1 \le i \le t$ , since  $H_i \ge D_i \ge D_{i-1}$  and  $b \ge 1$ , so none of the numerators in the first q-binomial coefficient of the product are ever negative.

Now suppose that  $D_k + D_{k-1} - H_k - E_{k-1} + a - b < 0$  for some k with  $0 \le k \le t$ . Note  $D_1 + D_0 - H_1 - E_0 + a - b = d_1 - h_1 + a - b$ , and since we assumed  $d_{i-1} + d_i \ge h_i$  (and in particular  $d_1 \ge h_1$ ) and  $a \ge b$ , we have that  $d_1 - h_1 + a - b \ge 0$ . Thus we see that such a k must be greater than 2.

Now choose j such that  $D_i + D_{i-1} - H_i - E_{i-1} + a - b \ge 0$  for  $1 \le i < j$ , but  $D_j + D_{j-1} - H_j - E_{j-1} + a - b < 0$  (such a j exists because of the remarks in the previous paragraph). Then  $D_j + D_{j-1} - H_j - E_{j-1} + a - b < 0$  implies  $D_j + D_{j-1} - H_j - E_{j-2} + a - b < e_{j-1}$ , which is equivalent to  $d_j + d_{j-1} - h_j + D_{j-1} + D_{j-2} - H_{j-1} - E_{j-2} + a - b < e_{j-1}$ , which implies  $D_{j-1} + D_{j-2} - H_{j-1} - E_{j-2} + a - b < e_{j-1}$ , which implies  $D_{j-1} + D_{j-2} - H_{j-1} - E_{j-2} + a - b < e_{j-1}$  (since  $d_j + d_{j-1} \ge h_j$ ). Hence

$$\begin{bmatrix} D_{j-1} + D_{j-2} - H_{j-1} - E_{j-2} + a - b \\ e_{j-1} \end{bmatrix} = 0$$

since the numerator is non-negative by definition of j but less than the denominator, so the product  $P(\vec{e}, a, b) = 0$  as well.

**Theorem 4.4.** Let  $B = B(h_1, d_1; ...; h_t, d_t)$  be a regular Ferrers board such that  $d_{i-1} + d_i \ge h_i$  for  $1 \le i \le t$ . Let  $a, b \in \mathbb{N}$  with  $a \ge b \ge 1$ , and set

$$L_k^{a,b}(B) = Area(B) + n(b-1) + k(n+a-1) - \sum_{i=1}^t d_i D_i$$

as before. Then  $A_{n,k}(a, b, q, B) / \prod_{i=1}^{t} [d_i]!$  is  $zsu(L_k^{a,b}(B))$ .

*Proof.* We apply Lemma 4.2, which says that

$$\frac{A_{n,k}(a,b,q,B)}{\prod_{i=1}^{t} [d_i]!} = \sum_{e_1 + \dots + e_t = k, \ 0 \le e_i \le d_i} P(\vec{e},a,b) \prod_{i=1}^{t} q^{e_i(H_i - D_i + E_i + b - 1)},$$

and all of the terms on the right hand side above are in  $\mathbb{N}[q]$  by Lemma 4.3. Each term is  $zsu(\sum_{i=1}^{t} \{(d_i - e_i)(H_i - D_i + E_i + b - 1) + e_i(D_i + D_{i-1} - H_i - E_i + a - b) + 2e_i(H_i - D_i + E_i + b - 1)\})$ , which a simple calculation shows is the same  $zsu(L_k^{a,b}(B))$ . Thus by Lemma 2.1,  $A_{n,k}(a, b, q, B)/\prod_{i=1}^{t} [d_i]!$  is  $zsu(L_k^{a,b}(B))$  as well.

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