RIFFLE SHUFFLES WITH BIASED CUTS

Sami Assaf University of Southern California

in collaboration with

Persi Diaconis Stanford University



K. Soundararajan Stanford University



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Theorem. (Bayer–Diaconis) On \mathfrak{S}_n , we have $P_{\frac{1}{a}} * P_{\frac{1}{b}} = P_{\frac{1}{ab}}$.

Separation distance

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Let U be the uniform distribution, i.e. $U(\sigma) = \frac{1}{n!}$ for a deck of n cards.

The separation distance between $P_{\frac{1}{2}}^{*k}$ and U is given by: $SEP(k) = \max_{\sigma \in \mathfrak{S}_n} 1 - \frac{P_{\frac{1}{2}}^{*k}(\sigma)}{U(\sigma)}$

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Separation bounds total variation: $0 \leq \left\| \mathbf{P}_{\frac{1}{2}}^{*k} - \mathbf{U} \right\|_{\mathbf{TV}} \leq \operatorname{SEP}(k) \leq 1$

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k	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
SEP	1.00	1.00	1.00	1.00	1.00	1.00	1.00	.996	.931	.732	.479	.278	.150	.078	.040	.020

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Precisely, for $a_n, b_n \to \infty$ with $b_n/a_n \to 0$, the chains P_n, π_n satisfy an a_n, b_n cutoff if for all real fixed θ with $k_n = \lfloor a_n + \theta b_n \rfloor$

$$\|P_n^{k_n} - \pi_n\| \longrightarrow c(\theta) \quad \text{where} \begin{cases} c(\theta) \to 0 & \text{as } \theta \to -\infty \\ c(\theta) \to 1 & \text{as } \theta \to -\infty \end{cases}$$

Biased cuts

CUT with binomial (n, θ) probability $Pr(\text{cut } c \text{ cards}) = {n \choose c} \theta^c (1 - \theta)^{n-c}$

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Let $P_{\theta}(\sigma)$ be chance that σ results from a θ -shuffle of the deck.

For $\theta = (\theta_1, \dots, \theta_a)$, a θ -shuffle is where the deck is cut into a packets with multinomial distribution $\binom{n}{c_1, \dots, c_a} \theta_1^{c_1} \cdots \theta_a^{c_a}$.

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Repeated θ -shuffles convolve: $\theta = (\theta_1, \dots, \theta_a)$ and $\eta = (\eta_1, \dots, \eta_b)$, $\theta * \eta = (\theta_1 \eta_1, \dots, \theta_1 \eta_b, \theta_2 \eta_1, \dots, \theta_a \eta_b)$

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Theorem. (Diaconis–Fill–Pitman) On \mathfrak{S}_n , we have $P_{\theta} * P_{\eta} = P_{\theta * \eta}$.

Quasisymmetric functions

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The fundamental basis is related to the monomial basis by

$$Q_{D(\beta)}(X) = \sum_{\alpha \text{ refines } \beta} M_{\alpha}(X)$$

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Theorem. (Fulman, Stanley) For $\sigma \in \mathfrak{S}_n$ and $\theta = (\theta_1, \theta_2, \dots, \theta_a)$,

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Corollary. On \mathfrak{S}_n , we have $\operatorname{SEP}(P_{\theta}) = 1 - n!Q_{[n-1]}(\theta)$.

Biased separation

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Theorem. (A-D-S) On \mathfrak{S}_n , the separation distance for P_{θ} is

$$\operatorname{SEP}(k) = 1 - n! \left(\sum_{\lambda \vdash n} (-1)^{n - \ell(\lambda)} z_{\lambda}^{-1} \prod_{i=1}^{n} p_i(\boldsymbol{\theta})^{k n_i(\lambda)} \right)$$

where $\ell(\lambda)$ is the number of parts of λ and $z_{\lambda} = \prod_{i} i^{n_{i}(\lambda)} n_{i}(\lambda)!$.

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Theorem. (A-D-S) For the θ -biased riffle shuffle measure on \mathfrak{S}_n , let $k = \left\lfloor \frac{2 \log n - \log 2 + c}{-\log(\theta^2 + (1-\theta)^2)} \right\rfloor$.

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 $\operatorname{SEP}(k) \sim \exp(e^{-c}) - 1$

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This gives a tight upper bound on separation, establishes the cutoff phenomenon,

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$$e_n(X) = Q_{[n-1]}(X)$$
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Theorem. (A-D-S) For the θ -biased riffle shuffle measure on \mathfrak{S}_n , let $k = \left\lfloor \frac{2 \log n - \log 2 + c}{-\log(\theta^2 + (1-\theta)^2)} \right\rfloor$. Then, for any fixed real c, $\operatorname{SEP}(k) \sim \exp(e^{-c}) - 1$

This gives a tight upper bound on separation, establishes the cutoff phenomenon, and shows that unbiased cuts lead to fastest mixing.

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$$\begin{aligned} \operatorname{SEP}(k) &= \operatorname{Pr}\{T > k\} = \operatorname{Pr}\{\bigcup_{i < j} \left\{ \begin{array}{l} \text{first } k \text{ coordinates of} \\ \operatorname{cards} i \text{ and } j \text{ are equal} \end{array} \right\} \right\} \\ &\leq \sum_{i < j} \operatorname{Pr}\{ \begin{array}{l} \text{first } k \text{ coordinates of} \\ \operatorname{cards} i \text{ and } j \text{ are equal} \end{array} \right\} = \binom{n}{2} \left(\sum_{i} \theta_{i}^{2}\right)^{k} \end{aligned}$$

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For example, for n = 3 we have

 $Pr(success) = 3Pr(B_{1,2}) - 3Pr(B_{1,2} \cap B_{2,3}) + Pr(B_{1,2} \cap B_{1,3} \cap B_{2,3})$ $= 3(\sum p_j^2) - 2(\sum p_j^3)$

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Further directions

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A similarly sharp analysis for total variation remains open for $\theta \neq \frac{1}{2}$.

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Thank you for listening.