# q-Bell Numbers and Polynomials 

Warren Johnson<br>The Pennsylvania State University

## Summary

A natural way to define $q$-Bell numbers is with the recurrence

$$
B_{n+1}(q)=\sum_{k=0}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] B_{k}(q)
$$

where $\left[\begin{array}{l}n \\ k\end{array}\right]$ is the $q$-binomial coefficient. One would then want $q$-analogues of the generating function and the combinatorial interpretation of Bell numbers. The former follows from a notion of $q$ composition of functions introduced by Gessel that gives a chain rule for the $q$-derivative. We give the latter and some ramifications of both, which include a $q$-exponential formula, new $q$-Stirling numbers of the second kind, $q$-exponential polynomials, $q$-Bell polynomials and a $q$-Faà di Bruno formula.

Une manière naturelle de définir les $q$-nombres de Bell est par moyen de la récurrence

$$
B_{n+1}(q)=\sum_{k=0}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] B_{k}(q)
$$

où $\left[\begin{array}{l}n \\ k\end{array}\right]$ indique le $q$-coefficient binomial. Ceci mène à deux problèmes: trouver également des $q$ analogues de la fonction génératrice et de l'interprétation combinatoire des nombres de Bell. Le premier peut être résolu à partir d'une notion de $q$-composition de fonctions introduite par Gessel, pour laquelle il existe une règle de $q$-différentiation. On donne une solution au deuxième problème ainsi que d'autres résultats reliés à ces questions, parmi lesquels: une formule $q$-exponentielle, de nouveaux $q$-analogues des nombres de Stirling de la $2^{e}$ espèce, polynômes $q$-exponentiels, $q$-analogues des polynômes de Bell et un $q$-analogue de la formule de Faà di Bruno.

## I $q$-composition of functions and the $q$-exponential formula

Let us first introduce some standard notation. We define $[k]=\frac{1-q^{k}}{1-q}=1+q+\ldots+q^{k-1}$ and $n!_{q}=[1][2] \cdots[n]$, where $0!_{q}=1$. Next we define the $q$ - (or Gaussian) binomial coefficient

$$
\left[\begin{array}{l}
n \\
k
\end{array}\right]=\frac{n!_{q}}{k!_{q}(n-k)!_{q}}
$$

It is not difficult to see that $[n]-[k]=q^{k}[n-k]$, and from this it follows that

$$
\left[\begin{array}{c}
n+1 \\
k
\end{array}\right]=\left[\begin{array}{c}
n \\
k-1
\end{array}\right]+q^{k}\left[\begin{array}{l}
n \\
k
\end{array}\right]
$$

We further define the $q$-exponential function

$$
e_{q}(x)=\sum_{n=0}^{\infty} \frac{x^{n}}{n!!_{\varphi}}
$$

and the $q$-derivative of $f(x)$,

$$
\mathbf{D}_{q} f(x)=\frac{f(x)-f(q x)}{x(1-q)}
$$

Noting that the $q$-derivative of a constant is zero and that $D_{q} \frac{x^{n}}{n!q}=\frac{x^{n-1}}{(n-1)!q_{q}}$ otherwise, we see that $e_{q}(x)$ is its own $q$-derivative. Therefore, we could prove a $q$-exponential formula if we had a chain rule for $q$-derivatives. For this, as was realized by Gessel ([Ge]), we need a different notion of functional composition. We have slightly modified Gessel's construction:

Let $f(x)$ be a function with $f(0)=0$, and write it in the form

$$
f(x)=\sum_{n=1}^{\infty} f_{n} \frac{x^{n}}{n!!_{q}}
$$

Define the 0 th symbolic power of $f$ by $f^{[0]}(x)=1$, and for positive integer $k$ define the $k$ th symbolic power of $f$ inductively by

$$
\begin{equation*}
\mathrm{D}_{q} f^{[k]}(x)=[k] f^{[k-1]}(x) f^{\prime}(x) \tag{1.1}
\end{equation*}
$$

where $f^{\prime}(x)$ will mean throughout this abstract the $q$-derivative of $f$. (1.1) gives $f^{[k]}(x)$ up to an additive constant, which is determined $\mathrm{by} f^{[k]}(0)=0$ for $k \geq 1$. An alternative definition can be given with a $q$-integral ([GR])

$$
\begin{equation*}
\int_{0}^{x} f(t) d_{q}(t):=f(0)+x(1-q) \sum_{n=0}^{\infty} q^{n} f\left(x q^{n}\right) \tag{1.2}
\end{equation*}
$$

(Note: for convergence of some of the quantities that we consider, it will occasionally be necessary, as here, to take $|q|<1$. As a rule, we will proceed formally.) Since this operator inverts the $q$-derivative operator, we may take, for $k \geq 1$,

$$
\begin{equation*}
f^{[k]}(x)=x\left(1-q^{k}\right) \sum_{n=0}^{\infty} q^{n} f^{[k-1]}\left(x q^{n}\right) f^{\prime}\left(x q^{n}\right) \tag{1.3}
\end{equation*}
$$

Note that when $k=1$ we have $\mathbb{D}_{q} f^{[1]}(x)=f^{\prime}(x)$, which implies that $f^{[1]}(x)=f(x)$ since they have the same $q$-derivative and are both zero when $x=0$. Also note that $\mathrm{D}_{q} x^{k}=[k] x^{k-1}$ and $\mathrm{D}_{q} x^{[k]}=[k] x^{[k-1]}$, so by induction we have $x^{[k]}=x^{k}$ since $x^{[1]}=x$. More generally we have

$$
\left(x^{m}\right)^{[k]}=\frac{k!_{q}}{k!_{q^{m}}} x^{m k}
$$

Using (1.1) and induction we obtain another expression which we could take as a definition of $f^{[k]}(x)$, namely

$$
\begin{align*}
f^{[k]}(x) & =k!_{q} \sum_{n=k}^{\infty} x^{n} \sum_{\substack{b_{1}+\cdots+b_{k}=n \\
b_{i} \geq 1}} \frac{f_{b_{1}} f_{b_{2}} \cdots f_{b_{k}}}{\left[b_{1}\right]\left[b_{1}+b_{2}\right] \cdots\left[b_{1}+\cdots+b_{k}\right]\left(b_{1}-1\right)!_{q} \cdots\left(b_{k}-1\right)!_{q}}  \tag{1.4}\\
& =k!_{q} \sum_{n=k}^{\infty} \frac{x^{n}}{n!_{q}} \sum_{\substack{b_{1}+\cdots+b_{k}=n \\
b_{i} \geq 1}} \frac{(n-1)!_{q} f_{b_{1}} f_{b_{2}} \cdots f_{b_{k}}}{\left[b_{1}\right]\left[b_{1}+b_{2}\right] \cdots\left[b_{1}+\cdots+b_{k-1}\right]\left(b_{1}-1\right)!_{q} \cdots\left(b_{k}-1\right)!_{q}} \tag{1.5}
\end{align*}
$$

If $g(x)=\sum_{n=0}^{\infty} g_{n} \frac{x^{n}}{n!q}$, then the $q$-composition of $g$ with $f$ is defined by

$$
\begin{equation*}
g[f]=\sum_{n=0}^{\infty} g_{n} \frac{f^{[n]}}{n!_{q}} \tag{1.6}
\end{equation*}
$$

Since $x^{[n]}=x^{n}$, at least we have $g[x]=g(x)$. Also note that

$$
\begin{equation*}
\mathbf{D}_{q} g[f]=\sum_{n=1}^{\infty} g_{n} \frac{f^{[n-1]}}{(n-1)!_{q}} f^{\prime}=g^{\prime}[f] f^{\prime} \tag{1.7}
\end{equation*}
$$

which is a $q$-analogue of the chain rule.
Take $g(x)$ and $f(x)$ as above and $g=e_{q}[f]$; then $g^{\prime}=e_{q}^{\prime}[f] f^{\prime}=g f^{\prime}$ and equating coefficients we get the $q$-exponential formula

$$
g_{n+1}=\sum_{k=0}^{n}\left[\begin{array}{l}
n  \tag{1.8}\\
k
\end{array}\right] g_{k} f_{n-k+1}, \quad g_{0}=1
$$

Gessel applies (1.8) to some problems in the enumeration of permutations. We give in the next section a generic combinatorial interpretation of (1.8).

## II A combinatorial interpretation of the $q$-exponential formula

We will require a few well-known facts (see [An]) about partitions of numbers. A partition of a positive integer $n$ is an unordered sum of positive integers equal to $n$. Each summand is called a part. For example, the partitions of 4 are $4,3+1,2+2,2+1+1,1+1+1+1$, with respectively $1,2,2,3,4$ parts. Then we have the
Lemma. (1) $\left[\begin{array}{l}n \\ k\end{array}\right]$ is the generating function for partitions into at most $k$ parts not exceeding $n-k$. (2) $q^{k}\left[\begin{array}{c}n-1 \\ k\end{array}\right]$ is the generating function for partitions into exactly $k$ parts not exceeding $n-k$.

With this in hand, we proceed to the combinatorics of (1.8), after introducing some more notation. Let $\langle k\rangle$ denote the set $\{1,2, \ldots, k\}$ and let $A(m, n ; k)$ be the set of partitions of the
number $k$ into at most $m$ parts each no larger than $n$. Let $O_{n}$ denote the set of weighted objects on $\langle n\rangle$, and let $f_{n}$ be the generating function for weighted objects on $\langle n\rangle$, i.e.

$$
f_{n}=\sum_{\sigma \in O_{n}} q^{w t \sigma}
$$

Define the weight of an assemblage of disjoint objects on $\langle n+1\rangle$ inductively as follows:
Find the object containing $n+1$. This object contains $n-k+1$ elements for some $k, 0 \leq k \leq n$, which are $a_{1}<a_{2}<\ldots<a_{n-k+1}=n+1$, say. Relabel the element $a_{i}$ as $i$ for each $i, 1 \leq i \leq n-k+1$ (we call this the order-preserving relabeling and abbreviate by OP), and take the weight $w_{1}$ of the relabeled object.

There remain $k$ elements, say $b_{1}<b_{2}<\ldots<b_{k}$, in the other objects, and we relabel $b_{i}$ as $i$ for each $i, 1 \leq i \leq k$. Put $w_{2}=\sum_{i=1}^{k}\left(b_{i}-i\right)$; we call $w_{2}$ the relabeling weight. Observe that $\sum_{i=1}^{k}\left(b_{i}-i\right)$ is a partition of the number $w_{2}$ into at most $k$ parts not exceeding $n-k$, since $b_{1}-1 \leq b_{2}-2 \leq \ldots \leq b_{n}-k \leq n-k$, and that all such partitions arise by appropriate choice of the $b_{i}$ 's.

After this OP relabeling, we now have an assemblage of objects on $\langle k\rangle$ with some weight $w_{3}$ (which could be found by repeating the algorithm until we come down to one object). Then we define the weight of the original assemblage to be $w=w_{1}+w_{2}+w_{3}$, which we call the generic definition of the weight, and we put

$$
g_{n}=\sum_{\alpha \in A O_{n}} q^{\omega t \alpha}, \quad g_{0}=1
$$

where $A O_{n}$ is the set of assemblages of objects on $\langle n\rangle$. We claim that $g_{n+1}=\sum_{k=0}^{n}\left[\begin{array}{l}n \\ k\end{array}\right] g_{k} f_{n-k+1}$. For, we have seen that each assemblage of objects on $\langle n+1\rangle$ comprises an object containing $n-k+1$ elements including $n+1$, an assemblage of objects on $\langle k\rangle$, and a partition into at most $k$ parts not exceeding $n-k$ which arises from OP relabeling the assemblage of $k$ objects, where $0 \leq k \leq n$. Then

$$
\begin{aligned}
g_{n+1} & =\sum_{\alpha \in A O_{n+1}} q^{w t a} \\
& =\sum_{k=0}^{n}\left(\sum_{\sigma \in O_{n-k+1}} \sum_{\kappa \in A O_{k}} \sum_{A(k, n-k ; l)} q^{w t \sigma+w t \kappa+l}\right) \\
& =\sum_{k=0}^{n}\left(\sum_{\sigma} q^{w t \sigma}\right)\left(\sum_{\kappa} q^{w t \kappa}\right)\left(\sum_{l} q^{l}\right) \\
& =\sum_{k=0}^{n} f_{n-k+1} g_{k}\left[\begin{array}{l}
n \\
k
\end{array}\right] .
\end{aligned}
$$

III $q$-Bell numbers and $q$-Stirling numbers of the second kind
With the $q$-exponential formula in our possession, we can give a $q$-analogue of the theory of Bell numbers. Let $\Pi(n, k)$ denote the set of partitions of $\langle n\rangle$ into $k$ blocks, and let $\Pi_{2}(n)=\sum_{k} \Pi(n, k)$. If we give a set weight 0 and define the weight of an assemblage of disjoint sets (i.e. a set partition) via the generic definition, we have $B_{0}(q)=1$ and

$$
\begin{equation*}
B_{n}(q)=\sum_{\pi \in \Pi_{2}(n)} q^{w t \pi} \tag{3.1}
\end{equation*}
$$

$$
\begin{align*}
B_{n+1}(q) & =\sum_{k=0}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] B_{k}(q)  \tag{3.2}\\
e_{q}\left[e_{q}(x)-1\right] & =\sum_{n=0}^{\infty} B_{n}(q) \frac{x^{n}}{n!_{q}} \tag{3.3}
\end{align*}
$$

If we define $q$-Stirling numbers of the second kind by

$$
\left\{\begin{array}{l}
n  \tag{3.4}\\
k
\end{array}\right\}_{q}=\sum_{\pi \in \Pi(n, k)} q^{w t \pi}, \quad\left\{\begin{array}{l}
n \\
0
\end{array}\right\}_{q}=\delta_{n 0}
$$

then clearly we have

$$
B_{n}(q)=\sum_{k=0}^{n}\left\{\begin{array}{l}
n  \tag{3.5}\\
k
\end{array}\right\}_{q}
$$

and a complete $q$-analogue of the basic theory of Bell numbers. However, these are not the same $q$-Bell numbers that are given by Milne ([M1]), nor are these $q$-Stirling numbers of the second kind the same as the ones that have appeared in the literature (see, e.g., [M1],[M2]). Two related sets of $q$-Stirling numbers of the second kind have been studied previously. One which is not too different from $\left\{\begin{array}{l}n \\ k\end{array}\right\}_{q}$ may be defined by

$$
\begin{equation*}
S_{q}(n, k)=S_{q}(n-1, k-1)+[k] S_{q}(n-1, k), \quad S_{q}(n, 0)=\delta_{n 0} \tag{3.6}
\end{equation*}
$$

(The first disagreement is that $S_{q}(4,2)=3+3 q+q^{2}$, while $\left\{\begin{array}{l}4 \\ 2\end{array}\right\}_{q}=3+2 q+2 q^{2}$.) The other may be defined by $\varsigma_{q}(n, k)=q^{\binom{k}{2}} S_{q}(n, k)$. On the subject of notation for Stirling numbers, see [Kn].
$\left\{\begin{array}{l}n \\ k\end{array}\right\}_{q}$ inherits several of the properties of $S(n, k)$. We have

$$
\left\{\begin{array}{l}
n+1  \tag{3.7}\\
k+1
\end{array}\right\}_{q}=\sum_{l=k}^{n}\left[\begin{array}{l}
n \\
l
\end{array}\right]\left\{\begin{array}{l}
l \\
k
\end{array}\right\}_{q}
$$

The proof of this is much the same as before. In a partition of $\langle n+1\rangle$ into $k+1$ blocks, the block containing $n+1$ has $n-l$ other elements in it for some $l, k \leq l \leq n(l \geq k$ since there remain $l$ elements in $k$ blocks). After OP relabeling this block has weight 0 . The remaining $l$ elements are OP relabeled, with a relabeling weight that is a partition into at most $l$ parts not exceeding $n-l$. After this relabeling, the remaining elements are a partition of $\langle l\rangle$ into $k$ blocks. Taking weights and summing over all possibilities, (3.7) follows.
(3.7) implies the infinite generating function

$$
\frac{\left(e_{q}(x)-1\right)^{[k]}}{k!_{q}}=\sum_{n=k}^{\infty}\left\{\begin{array}{l}
n  \tag{3.8}\\
k
\end{array}\right\}_{q} \frac{x^{n}}{n!_{q}}
$$

To see this, first note that if $k=0$ both sides are 1 . If $k>0$, both sides are 0 when $x=0$, and

$$
\begin{aligned}
\mathbf{D}_{q} \frac{\left(e_{q}(x)-1\right)^{[k]}}{k!_{q}} & =[k] \frac{1}{k!_{q}}\left(e_{q}(x)-1\right)^{[k-1]}\left(e_{q}(x)-1\right)^{\prime} \\
& =\frac{\left(e_{q}(x)-1\right)^{[k-1]}}{(k-1)!_{q}} e_{q}(x)
\end{aligned}
$$

If we set

$$
r_{k}(x)=\sum_{n=k}^{\infty}\left\{\begin{array}{l}
n \\
k
\end{array}\right\}_{q} \frac{x^{n}}{n!_{q}}
$$

then it suffices to show that $D_{q} r_{k+1}(x)=r_{k}(x) e_{q}(x)$. Now

$$
r_{k+1}(x)=\sum_{n=k+1}^{\infty}\left\{\begin{array}{c}
n \\
k+1
\end{array}\right\}_{q} \frac{x^{n}}{n!_{q}}=\sum_{n=k}^{\infty}\left\{\begin{array}{l}
n+1 \\
k+1
\end{array}\right\}_{q} \frac{x^{n+1}}{(n+1)!_{q}}
$$

so using (3.7)

$$
\begin{aligned}
\mathbf{D}_{q} r_{k+1}(x) & =\sum_{n=k}^{\infty}\left\{\begin{array}{l}
n+1 \\
k+1
\end{array}\right\}_{q} \frac{x^{n}}{n!_{q}}=\sum_{n=k}^{\infty} \sum_{l=k}^{n}\left[\begin{array}{l}
n \\
l
\end{array}\right]\left\{\begin{array}{l}
l \\
k
\end{array}\right\}_{q} \frac{x^{n}}{n!_{q}} \\
& =\sum_{l=k}^{\infty} \sum_{n=l}^{\infty}\left\{\begin{array}{l}
l \\
k
\end{array}\right\}_{q} \frac{x^{n-1}}{(n-l)!_{q}} \frac{x^{l}}{l!_{q}}=\sum_{l=k}^{\infty}\left\{\begin{array}{l}
l \\
k
\end{array}\right\}_{q} \frac{x^{l}}{l!_{q}} \sum_{n=l}^{\infty} \frac{x^{n-l}}{(n-l)!_{q}} \\
& =r_{k}(x) e_{q}(x)
\end{aligned}
$$

We may also consider the polynomial $\phi_{n}(x ; q)=\sum_{k=0}^{n}\left\{\begin{array}{l}n \\ k\end{array}\right\}_{q} x^{k}$, a $q$-analogue of the exponential polynomial ([RKO]). We get nice $q$-analogues of some of the properties of the exponential polynomials in

$$
e_{q}\left[x\left(e_{q}(t)-1\right)\right]=\sum_{n=0}^{\infty} \phi_{n}(x ; q) \frac{t^{n}}{n!_{q}}
$$

and

$$
\phi_{n+1}(x ; q)=x \sum_{k=0}^{n}\left[\begin{array}{l}
n \\
k
\end{array}\right] \phi_{k}(x ; q)
$$

## IV A $q$-analogue of Faà di Bruno's formula

Since Gessel's $q$-composition gives us a $q$-analogue of the chain rule, one can hope for a $q$ analogue of the iterated chain rule, that is, Faa di Bruno's formula ( $[\mathrm{Ch}],[\mathrm{Ri}],[\mathrm{Co}]$ ). For this we will require a product rule for $q$-derivatives. In the case of two functions we have

$$
\begin{align*}
\mathrm{D}_{q} f(x) g(x) & =\frac{f(x) g(x)-f(q x) g(q x)}{x(1-q)} \\
& =\frac{f(x) g(x)-f(x) g(q x)+f(x) g(q x)-f(q x) g(q x)}{x(1-q)} \\
& =f(x) g^{\prime}(x)+f^{\prime}(x) g(q x) \tag{4.1}
\end{align*}
$$

The formula we require is a generalization of (4.1) to $n$ functions:

$$
\begin{equation*}
\mathrm{D}_{q} f_{1}(x) f_{2}(x) \cdots f_{n}(x)=\sum_{k=1}^{n}\left(\prod_{j=1}^{k-1} f_{j}(x)\right) f_{k}^{\prime}(x)\left(\prod_{j=k+1}^{n} f_{j}(q x)\right) \tag{4.2}
\end{equation*}
$$

Proof: Induction on $n$. (4.1) is the case $n=2$, and we pass from $n$ to $n+1$ by taking $f(x)=f_{1}(x)$ and $g(x)=f_{2}(x) \cdots f_{n+1}(x)$ in (4.2).

We also note that

$$
\begin{align*}
\mathbf{D}_{q} f\left(q^{b} x\right) & =\frac{f\left(q^{b} x\right)-f\left(q^{b+1} x\right)}{x(1-q)} \\
& =q^{b} \frac{f\left(q^{b} x\right)-f\left(q^{b+1} x\right)}{q^{b} x(1-q)}  \tag{4.3}\\
& =q^{b} f^{\prime}\left(q^{b} x\right)
\end{align*}
$$

For orientation, let us look at $\mathbb{D}_{q}^{3} f[g(x)]$. There are five terms:

$$
\begin{gathered}
f^{\prime}[g(x)] g^{\prime \prime \prime}(x)+f^{\prime \prime}[g(x)] g^{\prime}(x) g^{\prime \prime}(q x)+q f^{\prime \prime}[g(x)] g^{\prime}(x) g^{\prime \prime}(q x) \\
+f^{\prime \prime}[g(x)] g^{\prime \prime}(x) g^{\prime}\left(q^{2} x\right)+f^{\prime \prime \prime}[g(x)] g^{\prime}(x) g^{\prime}(q x) g^{\prime}\left(q^{2} x\right)
\end{gathered}
$$

An abbreviated notation for this expression, in analogy with the notation in [Ri], could be

$$
f_{1} g_{30}+f_{2} g_{10} g_{21}+q f_{2} g_{10} g_{21}+f_{2} g_{20} g_{12}+f_{3} g_{10} g_{11} g_{12}
$$

These terms correspond to the five partitions of $\langle 3\rangle$, in the order $\{1,2,3\} ;\{1\},\{2,3\} ;\{2\},\{1,3\}$; $\{1,2\},\{3\} ;\{1\},\{2\},\{3\}$. Note that the weight of $\{2\},\{1,3\}$ is 1 while the weights of the other four partitions of $\langle 3\rangle$ are all 0 .

Let $\pi$ be a partition of $\langle n\rangle$ into the blocks $B_{1}, B_{2}, \ldots, B_{k}$. As with the partitions of $\langle 3\rangle$ above, we put the blocks in increasing order of the maximal elements. Suppose there are $b_{i}$ elements in the block $B_{i}$, for each $i$, and recall that we have designated the set of partitions of $\langle n\rangle$ by $\Pi_{2}(n)$. Then we have

## Theorem ( $q$-analogue of Faà di Bruno's formula, first form)

$$
\mathbf{D}_{q}^{n} f[g(x)]=\sum_{\pi \in \Pi_{2}(n)}\left\{\begin{array}{l}
q^{w t \pi} f^{(k)}[g(x)] g^{\left(b_{1}\right)}(x) g^{\left(b_{2}\right)}\left(q^{b_{1}} x\right) \times  \tag{4.4}\\
\times g^{\left(b_{3}\right)}\left(q^{b_{1}+b_{2}} x\right) \cdots g^{\left(b_{k}\right)}\left(q^{b_{1}+b_{2}+\cdots+b_{k-1}} x\right)
\end{array}\right\}
$$

Proof: Induction on $n$. We have verified the case $n=3$ already, and the lower-dimensional cases are trivial. Observe that the exponent of $q$ in the factor $g^{\left(b_{1}\right)}\left(q^{b_{1}+\cdots+b_{i-1}} x\right)$ counts the number of elements contained in $B_{1} \cup \cdots \cup B_{i-1}$, i.e. in the blocks with a smaller maximal element than that of $B_{i}$. Assuming that (4.4) holds for $n$, we show it holds for $n+1$. Using (4.2) in (4.4), we have

$$
\begin{align*}
& \mathrm{D}_{q}^{n+1} f[g(x)] \\
& =\sum_{\pi \in \Pi_{2}(n)} q^{w t \pi} f^{(k+1)}[g(x)]\left(\prod_{i=1}^{k} g^{\left(b_{i}\right)}\left(q^{b_{1}+\cdots+b_{i-1}+1} x\right)\right)  \tag{4.5}\\
& +\sum_{\substack{\pi \in \Pi_{2}(n) \\
1 \leq i \leq k}}\left\{\begin{array}{l}
f^{(k)}[g(x)] q^{w t \pi+\sum_{l=1}^{i-1} b_{l}}\left(\prod_{j=1}^{i-1} g^{\left(b_{j}\right)}\left(q^{\sum_{l=1}^{j-1} b_{l}} x\right)\right) \times \\
\times g^{\left(b_{1}+1\right)}\left(q^{\sum_{l=1}^{i-1} b_{l}} x\right)\left(\prod_{j=i+1}^{k} g^{\left(b_{j}\right)}\left(q^{1+\sum_{l=1}^{j-1} b_{l}} x\right)\right)
\end{array}\right\}
\end{align*}
$$

where we also used (4.3).

We may obtain all the partitions of $\langle n+1\rangle$ from the partitions of $\langle n\rangle$ by relabeling the elements $1,2, \ldots, n$ as $2,3, \ldots, n+1$ in that order, and then either adding the element 1 as a singleton block, or adding it to one of the relabeled blocks. Doing the former increases the number of blocks by one, increases the number of elements in the blocks with a smaller maximal element than that of $B_{i}$ by one for each of the original blocks, and does not change the weight. Therefore this class of partitions of $\langle n+1\rangle$ corresponds to the first summand in (4.5).

In the latter case, suppose we have adjoined 1 to the relabeled block $B_{i}$. For the $B_{j}$ with $j>i$, the number of elements in the blocks of smaller index has increased by one, but this number has not changed for the $B_{j}$ with $j<i$, and of course the size of $B_{i}$ has increased by one. The effect on the weight is the following. The blocks $B_{j}$ with $j>i$ are crossed out one by one. Each element has a label one larger than previously both before and after relabeling, so there is no net effect on the relabeling weight. When $B_{i}$ is crossed out, however, the remaining elements have to be relabeled down one more than before. After this, everything has the same labels it would have had before the element 1 was adjoined, so there is no further effect on the weight. Thus the weight increases by the number of elements in the blocks with index less than $i$, that is, by $b_{1}+\cdots+b_{i-1}$. Therefore these partitions correspond to the second summand in (4.5)

Faà di Bruno's formula is not usually stated in the form of a sum over set partitions, although it is most easily proved when so stated. One can then ask whether our $q$-analogue can be given without reference to set partitions. The following lemma will allow us to give such a statement.

Lemma Let $\pi$ be a partition of $\langle n\rangle$ into the blocks $B_{1}, B_{2}, \ldots, B_{k}$, listed in increasing order of their maximal elements, with $\left|B_{i}\right|=b_{i}, 1 \leq i \leq k$. If $\sum_{\pi \in\left(B_{1}, \ldots, B_{k}\right)}$ denotes the sum over all such $\pi$, then

$$
\begin{aligned}
& \sum_{\pi \in\left(B_{1}, \ldots, B_{k}\right)} q^{w t \pi} \\
= & {\left[\begin{array}{c}
b_{1}+b_{2}+\cdots+b_{k}-1 \\
b_{k}-1
\end{array}\right]\left[\begin{array}{c}
b_{1}+b_{2}+\cdots+b_{k-1}-1 \\
b_{k-1}-1
\end{array}\right] \ldots\left[\begin{array}{c}
b_{1}+b_{2}-1 \\
b_{2}-1
\end{array}\right] }
\end{aligned}
$$

Proof: To determine the weight of $\pi$, we begin by crossing out $B_{k}$ and OP relabeling the elements in the other blocks with $\left\{1,2, \ldots, b_{1}+b_{2}+\cdots+b_{k-1}\right\}$. Before relabeling, the largest element in these blocks does not exceed $b_{1}+b_{2}+\cdots+b_{k}-1$, so the contribution to the relabeling weight from any one element is no larger than $b_{k}-1$. For each $\pi$, then, the relabeling weight is a partition into at most $b_{1}+b_{2}+\cdots+b_{k-1}$ parts not exceeding $b_{k}-1$, and we get all such partitions from summing over all $\pi$. By the lemma of section III, we therefore have

$$
\sum_{\pi \in\left(B_{1}, \ldots, B_{k}\right)} q^{w t \pi}=\left[\begin{array}{c}
b_{1}+b_{2}+\cdots+b_{k}-1 \\
b_{k}-1
\end{array}\right] \sum_{\pi \in\left(B_{1}, \ldots, B_{k-1}\right)} q^{w t \pi}
$$

and the lemma follows upon iteration since the weight of a single block is 0 .
Observe that we may rewrite the expression in the lemma as

$$
\frac{(n-1)!_{q}}{\left[b_{1}\right]\left[b_{1}+b_{2}\right] \cdots\left[b_{1}+\cdots+b_{k-1}\right]\left(b_{1}-1\right)!_{q}\left(b_{2}-1\right)!_{q} \cdots\left(b_{k}-1\right)!_{q}}
$$

and that this expression has arisen already in (1.5). From this point of view, it therefore gives a natural $q$-analogue of the Bell polynomial ([Ri],[Co]). That is, we may put

$$
\begin{equation*}
\mathbf{B}_{n, k, q}\left(f_{1}, f_{2}, \ldots\right)=\sum_{\substack{b_{1}+\cdots+b_{k}=n \\ b_{1} \geq 1}} \frac{(n-1)!_{q} f_{b_{1}} f_{b_{2}} \cdots f_{b_{k}}}{\left[b_{1}\right]\left[b_{1}+b_{2}\right] \cdots\left[b_{1}+\cdots+b_{k-1}\right]\left(b_{1}-1\right)!_{q} \cdots\left(b_{k}-1\right)!_{q}} \tag{4.6}
\end{equation*}
$$

and rewrite (1.5) as

$$
\begin{equation*}
f^{[k]}(x)=k!_{q} \sum_{n=k}^{\infty} \frac{x^{n}}{n!} \mathbf{B}_{n, k, q}\left(f_{1}, f_{2}, \ldots\right) \tag{4.7}
\end{equation*}
$$

Using the shorthand notation introduced earlier, namely $g_{i, j}:=g^{(i)}\left(q^{j} x\right)$, we may now state our $q$-Faa di Bruno formula in the following form:

Theorem ( $q$-analogue of Faà di Bruno's formula, second form)

$$
\mathbf{D}_{q}^{n} f[g(x)]=\sum_{k} f^{(k)}[g(x)] \mathbf{B}_{n, k, q}\left(g_{b_{1}, 0}, g_{b_{2}, b_{1}}, g_{b_{3}, b_{1}+b_{2}}, \ldots\right)
$$

## V References

[An] G.E. Andrews, The Theory of Partitions, Encyclopedia of Mathematics and its Applications, Vol. 2, Addison-Wesley, Reading, MA 1976.
[Ch] William Y.C. Chen, Context-free Grammars, Differential Operators and Formal Power Series, in: M. Delest, G. Jacob, P. Leroux editors, Series Formelles et Combinatoire Algebrique, proceedings, Bordeaux France 1991, 145-159.
[Co] Louis Comtet, Advanced Combinatorics, Reidel, Dordrecht, 1974.
[Ge] Ira M. Gessel, A $q$-analog of the exponential formula, Discrete Math. 40 (1982), 69-80.
[GR] George Gasper and Mizan Rahman, Basic Hypergeometric Series, Encyclopedia of Mathematics and its Applications, Vol. 35, Cambridge U. Press, Cambridge 1990.
[Kn] Donald E. Knuth, Two notes on notation, Amer. Math. Monthly 99 (1992), 403-422.
[M1] Stephen C. Milne, A $q$-analog of restricted growth functions, Dobinski's equality, and Charlier polynomials, Trans. Amer. Math. Soc. 245 (1978), 89-118.
[M2] Stephen C. Milne, Restricted growth functions, rank row matchings of partition lattices, and $q$-Stirling numbers, Adv. in Math. 43 (1982), 173-196.
[Ri] John Riordan, An Introduction to Combinatorial Analysis, Wiley, New York, 1958.
[RKO] G.-C. Rota, D. Kahaner and A. Odlyzko, On the foundations of combinatorial theory, VIII: Finite operator calculus, J. Math. Anal. App. 42 (3), 1973, 684-760.

