

# Canonical Bases for the Birman-Wenzl Algebra

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## Abstract

In this paper, which is a summary of work with Grojnowski [FG], we construct canonical bases for the Birman-Wenzl algebra  $BW_n$ , the  $q$ -analogue of the Brauer centralizer algebra, and so define left, right and two sided cells. We describe these objects combinatorially (generalizing the Robinson-Schensted algorithm for the symmetric group) and show that each left cell carries an irreducible representation of  $BW_n$ . In particular, we obtain canonical bases for each representation, defined over  $\mathbf{Z}$ . As a side effect of our techniques we give a particularly simple description of the representations of  $BW_n$ , which seems to be new. This description is independent of the results on the bases.

## Résumé

Dans ce travail, nous construisons des bases de Kazhdan-Lusztig pour l'algèbre de Birman-Wenzl  $BW_n$ , et nous définissons ainsi des cellules gauches, droites, et bilatères. Nous donnons une description combinatoire de ces objets, au moyen d'une généralisation de l'algorithme de Robinson-Schensted (pour le groupe symétrique) et nous montrons que chaque cellule gauche est le support d'une représentation irréductible de  $BW_n$ . En particulier nous obtenons pour chaque représentation des bases canoniques, définies sur  $\mathbf{Z}$ .

## 1 Introduction

In the second section of this extended abstract, we define Brauer diagrams and statistics  $l(d)$  and  $h(d)$  on Brauer diagrams, tangles, and the Birman-Wenzl algebra  $BW_n$ . Also in the second section we define a standard basis for  $BW_n$  and an involution on  $BW_n$ . In the third section, we define the Kazhdan-Lusztig basis for  $BW_n$ . In Section 4 we define left, right, and two-sided cells, obtain the irreducible representations and characterize these irreducibles, and in Section 5 we describe a Schensted algorithm which characterizes them combinatorially. For full proofs, see [FG].

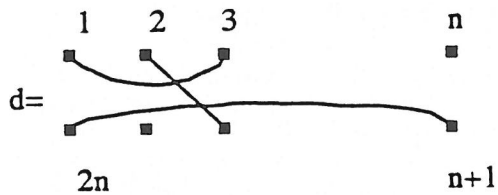
## 2 The Birman-Wenzl Algebra

### 2.1 Brauer diagrams

Let  $F$  be a finite set and  $R$  a ring. We write  $RF$  for the free  $R$ -module with basis  $F$ ; so an element of  $RF$  is a map from  $F$  to  $R$ , usually denoted  $\sum_{f \in F} n_f f$ .

If  $n \in \mathbb{N}$ , write  $2n!! = 1 \cdot 3 \cdot 5 \cdots (2n - 1)$ ; and if  $S$  is a set, write  $|S|$  for its cardinality.

A "Brauer diagram on  $n$  letters" is a partition of the set  $\{1, \dots, 2n\}$  into two element subsets. Write  $B = B_n$  for the set of Brauer diagrams, so  $|B| = 2n!!$ . If  $d \in B$ , we represent  $d$  by a diagram in the plane where there are  $n$  dots



numbered  $1, \dots, n$  in the top row;  $n$  dots numbered  $2n, \dots, n+1$  in the bottom row, and the vertex  $i$  is joined to the vertex  $j$  if  $\{i, j\} \in d$ . We can draw this picture so two edges intersect at most once, there are no self-intersections, at most two edges intersect at any point, the only critical points of the functions representing the edges are the max (resp. min) of horizontal edges, etc. Call such a diagram *nice*.

If  $d \in B$ , write  $\ell(d)$  for the number of pairs  $\{i, j\}, \{k, l\}$  in  $d$  such that  $i < k < j < l$ . In our nice diagram representing  $d$ , this is just the number of crossings of edges.

Also for  $d \in B$ , write  $h(d)$  for the number of pairs  $\{i, j\}$  in  $d$  with  $i \leq n$  and  $j \leq n$ . This is just the number of horizontal edges in the top row of the diagram of  $d$ ; clearly this is also the number of horizontal edges in the bottom row. The symmetric group on  $n$  letters,  $S_n$ , is isomorphic to the elements of  $\{d \in B_n \mid h(d) = 0\}$ .

## 2.2 Tangles

A "tangle on  $n$  letters" is an equivalence class of certain pictures in the plane with  $2n$  marked vertices  $1, \dots, 2n$  [Ka]. Denote  $\mathcal{T}_n$  for the set of  $n$ -tangles. A picture  $t$  in the plane with lines between the vertices  $1, \dots, 2n$  (arranged as in a Brauer diagram), with over and undercrossings indicated and with some number of closed loops, represents a tangle  $t$ . If two such pictures differ only in the neighborhood of a crossing, where they are respectively of the form



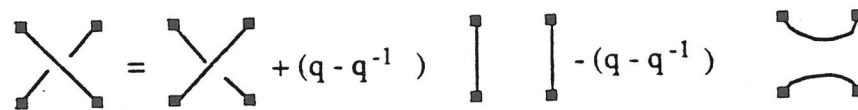
(or any diagram obtained by rotating these), then they represent the same element of  $\mathcal{T}_n$ ; and the set of such pictures mod the equivalence relation generated by these two "Reidemeister moves" is  $\mathcal{T}_n$ .

If  $t_1, t_2 \in \mathcal{T}_n$ , then we define  $t_1 t_2$  to be the (equivalence class) of the tangle obtained by concatenating  $t_1$  and  $t_2$  (place  $t_1$  above  $t_2$  and join the dots). With this product,  $\mathcal{T}_n$  is a monoid.

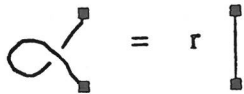
Let  $x, q$ , and  $r$  be indeterminants and let  $\mathcal{A}$  be the ring

$$\mathcal{A} = \mathbb{Z}[r, r^{-1}, q, q^{-1}, x] / ((1-x)(q - q^{-1}) + (r - r^{-1}))$$

and  $\mathcal{A}' = \mathbb{Z}[x]$ . Write  $\widetilde{BW}_n$  for the quotient of  $\mathcal{AT}_n$  by the relations generated by

Q1 

Q2 

Q3 

Q4 

Here, by Q1 we mean that if  $t$  is a tangle with some crossing which looks like



and  $t'$  (resp.  $t'', t'''$ ) represents the same tangle with this crossing modified to



then  $t = t' + (q - q^{-1})t'' - (q - q^{-1})t'''$  in  $\widetilde{BW}_n$ . (These relations really do descend to  $\mathcal{T}_n$ ). Similarly for Q2-Q4.

For example,

$$\begin{array}{c} \text{[Diagram: a loop with a crossing]} \\ \text{[Diagram: a loop with a crossing]} \\ + (q - q^{-1}) \text{[Diagram: a circle]} \\ - (q - q^{-1}) \text{[Diagram: a loop with a crossing]} \end{array}$$

whence  $(r - r^{-1}) = (q - q^{-1})(x - 1)$  by Q2, Q3, and Q4.

Define elements  $T_{s_i}$ ,  $T_{s_i}^{-1}$ , and  $T_{e_i}$  in  $\mathcal{T}_n$  by

$$T_{s_i} = \begin{array}{c} \text{[Diagram: crossing of strands } i \text{ and } i+1 \text{]} \\ \text{[Diagram: crossing of strands } i \text{ and } i+1 \text{]} \end{array}$$

$$T_{s_i}^{-1} = \begin{array}{c} \text{[Diagram: crossing of strands } i \text{ and } i+1 \text{]} \\ \text{[Diagram: crossing of strands } i \text{ and } i+1 \text{]} \end{array}$$

$$T_{e_i} = \begin{array}{c} \text{[Diagram: two parallel strands } i \text{ and } i+1 \text{]} \\ \text{[Diagram: two parallel strands } i \text{ and } i+1 \text{]} \end{array}$$

Define  $BW = BW_n$  to be the submonoid of  $\widetilde{BW}_n$  generated by  $T_{s_i}$ ,  $T_{s_i}^{-1}$ ,  $T_{e_i}$  for  $1 \leq i < n$ . This is a  $\mathcal{A}$ -algebra, the "Birman-Wenzl" algebra, and may be defined explicitly in terms of these generators and some relations. (See [BW]).

If  $d \in B_n$ ,  $T_d$  is the picture obtained from a nice diagram for  $d$  by requiring  $\{i, j\}$  to pass over  $\{k, l\}$  if  $i < k < j < l$ .  $\{T_d\}_{d \in B_n}$  is a basis for  $BW_n$  [HR], which we call the standard basis.

If  $t$  is a picture representing a tangle, write  $\bar{t}$  for the picture obtained from  $t$  by interchanging every over and under crossing. It is clear that  $\bar{\bar{t}} = t$  respects Reidemeister moves, and so this operation on pictures descends to tangles.

Also write  $\bar{\cdot} : \mathcal{A} \rightarrow \mathcal{A}$  for the  $\mathbb{Z}$ -linear ring homomorphism defined by

$$r \mapsto r^{-1}, \quad q \mapsto q^{-1}, \quad x \mapsto x.$$

This is an involution.

It is clear from Q1-Q4 that the  $\mathcal{A}$ -antilinear involution  $\bar{\cdot} : \mathcal{AT}_n \rightarrow \mathcal{AT}_n$ ,  $\sum n_t t \mapsto \sum \bar{n}_t \bar{t}$  descends to an involution  $\bar{\cdot} : BW_n \rightarrow BW_n$ . Further,  $\overline{t_1 t_2} = \bar{t}_1 \bar{t}_2$  whenever we can concatenate tangles  $t_1$  and  $t_2$ ; i.e.  $\bar{\cdot}$  is an algebra homomorphism whenever this makes sense.

Observe that if  $d$  is a Brauer diagram,

$$\overline{T_d} = T_d + \sum_{d': \ell(d') < \ell(d)} r_{d'd} T_{d'} \quad (1)$$

for certain  $r_{d'd} \in \mathbb{Z}[(q - q^{-1})]$ . This follows from Q1 by a straightforward induction.

### 3 Canonical Bases

We use the following lemma of [KL] to define our canonical basis for  $BW_n$ .

**Lemma 1** *Let  $M$  be a free  $\mathbb{Z}[q, q^{-1}]$ -module, with a given basis  $(e_i)_{i \in I}$ ,  $I$  some index set. Suppose also given a semilinear involution  $\bar{\cdot} : M \rightarrow M$  such that  $\overline{qm} = q^{-1}\bar{m}$ ,  $\overline{m + m'} = \bar{m} + \bar{m}'$ , and a partial order  $\leq$  on  $I$  such that  $\{j \mid j \leq i\}$  is finite and*

$$\bar{e}_i = \sum_{j \leq i} r_{ji} e_j, \quad r_{ji} \in \mathbb{Z}[q, q^{-1}] \text{ and } r_{ii} = 1.$$

*Then there is a unique basis  $(b_i)_{i \in I}$  of  $M$  such that  $i) \bar{b}_i = b_i$ , and*

$$ii) b_i = \sum_{j \leq i} P_{ji} e_j, \quad \text{with } P_{ii} = 1, \text{ and } P_{ji} \in q^{-1}\mathbb{Z}[q^{-1}] \text{ if } j < i.$$

*This basis is called the "canonical" (or Kazhdan-Lusztig) basis of  $M$ .*

We apply the lemma to  $BW_n$ , and to the involution  $\bar{\cdot}$ , the standard basis  $T_d$ , and the partial order  $d' \leq d$  if  $\ell(d') < \ell(d)$  or  $d = d'$ . We may do this by (1). We denote the new basis by  $C_d$

Observe that the polynomial  $P_{d'd}$  are in  $\mathbb{Z}[q^{-1}]$ , that is they do not depend on  $r$  and  $x$ . For example,  $C_{e_i} = T_{e_i}$ ,  $C_1 = 1$ ,  $C_{s_i} = T_{s_i} + q^{-1} - q^{-1}T_{e_i}$ ,  $1 \leq i < n$ .

## 4 Cells

Let  $h_{xyz}$  be the structure constants for multiplication in  $BW_n$  with respect to the canonical basis; i.e.

$$C_x C_y = \sum_{z \in B_n} h_{xyz} C_z \quad \text{for } x, y \in B_n.$$

Let  $\leq_L$  (resp.  $\leq_R$ ) be the preorder on  $B_n$  generated by the relations  $z \leq_L y$  (resp.  $z \leq_R x$ ) if there exists an  $x \in B_n$  (resp.  $y \in B_n$ ) such that  $h_{xyz} \neq 0$ . Let  $\leq_{LR}$  be the preorder generated by the relation  $x \leq_{LR} y$  if  $x \leq_L y$  or  $x \leq_R y$ . Write  $x \sim_L y$  if  $x \leq_L y$  and  $y \leq_L x$ ; similarly for  $\sim_R, \sim_{LR}$ . The equivalence classes for  $\sim_L, \sim_R, \sim_{LR}$  are called respectively left, right or two sided cells. Observe that if  $x \sim_L y$ , then  $h(x) = h(y)$ . If  $\pi_1$  and  $\pi_2$  are elements of  $S_k$ , write  $\pi_1 \sim_{L_S} \pi_2$  if  $\pi_1$  is left equivalent to  $\pi_2$  as in [KL]. It turns out that if  $x$  and  $y$  are elements of  $B_n$  and  $h(x) = h(y) = 0$ , so that  $x$  and  $y$  may be considered elements of  $S_n$ , then  $x \sim_L y$  if and only if  $x \sim_{L_S} y$ .

If  $\Gamma$  is a left cell in  $B_n$ , then if we set

$$F^\Gamma = \mathcal{A}\{C_x \mid x \leq_L \Gamma\}$$

$F^\Gamma$  is a left ideal in  $BW_n$ . Write  $F^{<\Gamma}$  for the sum of the  $F^{\Gamma'}$  such that  $\Gamma' \leq_L \Gamma$ ,  $\Gamma \neq \Gamma'$ ; and write  $gr^\Gamma = F^\Gamma / F^{<\Gamma}$ . This is a left  $BW_n$  module. Similarly, for  $\Gamma$  a right or two sided cell, the analogously defined  $F^\Gamma$  are right (resp. two sided ideals), and  $gr^\Gamma$  is a right module (resp.  $BW_n \times BW_n^\circ$  module).

Our main result is an explicit description of the equivalence classes  $\sim_L$ , and hence an explicit construction of bases in the irreducible modules for  $BW_n$  with structure constants in  $\mathcal{A}$ . In order to describe these classes, we need to decompose tangles into *dangles* and elements of the symmetric group.

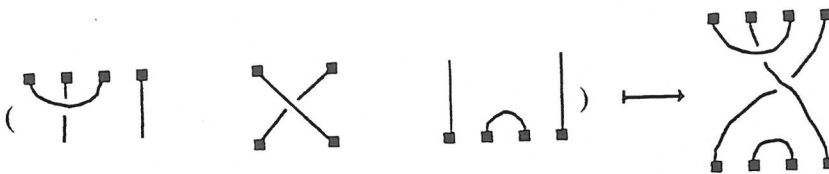
A "flat  $(n, k)$  dangle" is a subset of  $\{1, \dots, n\}$  of size  $2k$ , which is partitioned into  $k$  2-element subsets. Write  $D^k = D_n^k$  for the set of flat  $(n, k)$ -dangles, so  $|D_n^k| = \binom{n}{2k} k!!$ . If  $d \in D^k$ , we can represent  $d$  by a diagram in the plane



such that  $i$  is joined to  $j$  if  $\{i, j\} \in d$  and there is a vertical line from  $i$  if  $i \notin d$ . We can insist that two edges intersect at most once, and no vertical edges intersect, etc. Elements of  $D_n^k$  represent the tops of Brauer diagrams. Define  ${}^\circ D_n^k$  to be  $D_n^k$ , but draw the pictures dangling upward rather than down,

and label the vertices  $2n, \dots, n+1$ . These represent the bottom part of Brauer diagrams.

We define a map  $D_n^k \times S_{n-2k} \times {}^oD_n^k \rightarrow B_n$ , by concatenation, e.g.



Note that  $D^k \times S_{n-2k} \times {}^oD^k$  bijects to  $\{d \in B_n \mid h(d) = k\}$ . Write  $d \mapsto (\tau(d), \pi(d), \beta(d))$  for the inverse map.

**Theorem 1** *We have  $d \sim_L d'$  if and only if  $h(d) = h(d')$ ,  $\beta(d) = \beta(d')$ , and  $\pi(d) \sim_{L_S} \pi(d')$  in  $S_{n-2h(d)}$ . Further, if  $\Gamma$  and  $\Gamma'$  are two left cells in the same two sided cell, then  $gr^\Gamma$  is isomorphic to  $gr^{\Gamma'}$  as a  $BW_n$ -module with basis. Finally, let  $F$  be a field,  $\alpha : A \rightarrow \mathcal{F}$  a homomorphism of rings, and suppose  $BW_n \otimes_A F$  is semisimple. Then each representation  $gr^\Gamma \otimes_A F$  is irreducible.*

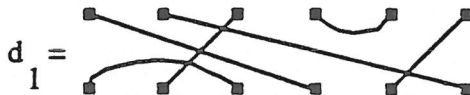
In the course of the proof of Theorem 1, we observed the following simple result, which seems to be new.

Let  $V$  be an irreducible representation of  $S_{n-2k}$ . Then one can give  $AD_n^k \otimes V$  the structure of an irreducible representation of  $BW_n$  in a unique way. Further, representations constructed in this way are distinct, and exhaust the representations of  $BW_n$ . For the proof of these results, see [FG].

## 5 Combinatorial Description of the Cells

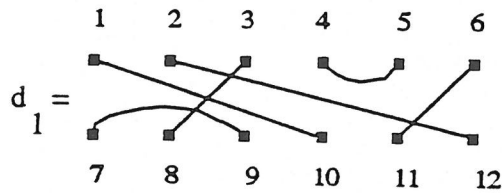
We now describe an algorithm, due to Sundaram [S], for bijecting Brauer diagrams  $B_n$  onto pairs  $(p, q)$  of up-down paths of length  $n$  in Young's lattice. The paths  $p$  and  $q$  begin at the same shape, end in the empty partition, and each partition differs from its predecessor by one square. In this language, if  $d_1, d_2 \in B_n$  and  $d_1 \rightarrow (p_1, q_1)$  and  $d_2 \rightarrow (p_2, q_2)$ , then the first sentence of Theorem 1 translates to  $d_1 \sim_L d_2$  if and only if  $p_1 = p_2$ . This is a generalization of the relationship between tableaux and cells for the symmetric group. [KL], [K].

Throughout this section, let



Here are the steps, for  $d \in B_n$  with  $h(d) = k$ .

1. In this section, number the top row 1 to  $n$  and the bottom row from  $n + 1$  to  $2n$ , both from left to right.

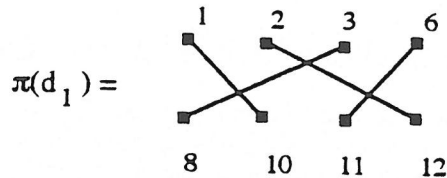


2. Let  $\pi = \pi(d)$  be the permutation defined by the vertical edges of  $d$ , as in Section 4. Define two  $2 \times k$  arrays  $L_\tau$  and  $L_\beta$ . For each horizontal edge in the top row  $\{i, j\}$ ,  $1 \leq i < j \leq n$ , add the column

$$\begin{pmatrix} j \\ i \end{pmatrix}$$

to  $L_\tau$ . For each horizontal edge in the bottom row  $\{i, j\}$ ,  $n + 1 \leq i < j \leq 2n$ , add the same column to  $L_\beta$

$$L_\tau(d_1) = \begin{pmatrix} 5 \\ 4 \end{pmatrix}, L_\beta(d_1) = \begin{pmatrix} 9 \\ 7 \end{pmatrix}$$



3. Use the Robinson-Schensted correspondence to obtain a pair of tableaux  $(P, Q)$  from  $\pi$ . The labels in  $P$  will be from the bottom row of  $D$  and the labels in  $Q$  will be from the top row of  $d$ .

$$(P(d_1), Q(d_1)) = \left( \begin{array}{|c|c|} \hline 8 & 11 \\ \hline 10 & 12 \\ \hline \end{array}, \begin{array}{|c|c|} \hline 1 & 2 \\ \hline 3 & 6 \\ \hline \end{array} \right)$$



4. Using  $P(d)$  and  $L_\beta$ , we proceed inductively as follows to build a path  $p(d) = (\lambda^n, \lambda^{n-1}, \dots, \lambda^0)$  in Young's lattice. Let  $P_n = P(d)$  and let  $\lambda^n$  be the shape of  $P(d)$ . Suppose we have the standard Young tableau  $P_j$  of shape  $\lambda^j$  at the  $j$ th step. If  $n+j$  is a label of a square in  $P_j$ , delete that square. We now have the standard Young tableau  $P_{j-1}$  of shape  $\lambda^{j-1}$ . If  $n+j$  is not a label in  $P_j$ , then it appears in the top row of  $L_\beta(d)$ , in a column

$$\begin{pmatrix} j \\ i \end{pmatrix}$$

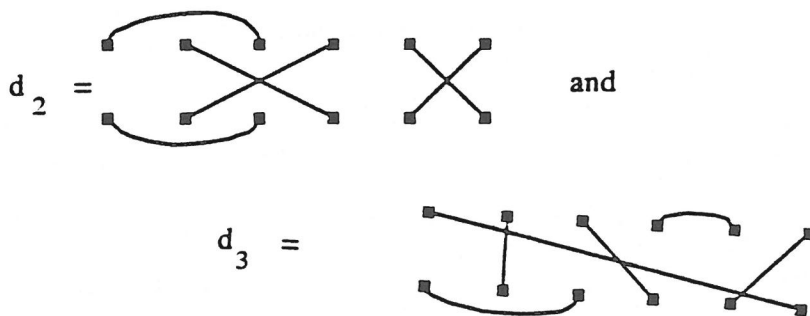
with  $i < j$ . In this case, column insert  $n+i$  into  $P_j$  to obtain  $P_{j-1}$  of shape  $\lambda^{j-1}$ .

$i$	6	5	4	3	2	1	0
$P_i$	$\begin{array}{ c c } \hline 8 & 11 \\ \hline 10 & 12 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 8 & 11 \\ \hline 10 & \\ \hline \end{array}$	$\begin{array}{ c } \hline 8 \\ \hline 10 \\ \hline \end{array}$	$\begin{array}{ c } \hline 8 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 7 & 8 \\ \hline \end{array}$	$\begin{array}{ c } \hline 7 \\ \hline \end{array}$	
$\lambda^i$	(2,2)	(2,1)	(1,1)	(1)	(2)	(1)	()

5. Using  $Q(d)$  and  $L_\tau(d)$ , follow the same procedure as above, replacing  $P$  with  $Q$ ,  $\beta$  with  $\tau$ ,  $n+j$  with  $j$ , and  $n+i$  with  $i$ .

$$q(d_1) = ((2,2), (2,1), (2,1,1), (2,1), (2), (1), \emptyset)$$

If



then,

$$p(d_2) = p(d_1)$$

$$\begin{aligned}
q(d_2) &= ((2, 2), (2, 1), (1, 1), (2), (1), \emptyset) \\
p(d_3) &= ((3, 1), (3), (2), (1), (2), (1), \emptyset) \\
q(d_3) &= ((3, 1), (2, 1), (2, 1, 1), (2, 1), (1, 1), (1), \emptyset)
\end{aligned}$$

So we see that  $d_1 \sim_L d_2$  and that  $d_3$  is in a different two-sided cell from  $d_1$  and  $d_2$ .

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